HotScan Risk Screening Solutions Suite

Reduce risk and stay ahead of the regulatory curve

Contents

A modular approach to effective & efficient financial crime risk mitigation

The war on financial crime is an increasingly complex and costly endeavor for today's financial institutions and corporations alike as both are subject to anti-money laundering regulation.

CGI's HotScan Risk Screening is a comprehensive set of solutions that work in unison to minimize both risk and cost. HotScan's filtering software scans all types of payments and customer data to provide notifications of matches against watch lists, enabling organizations to stay ahead of fast-evolving financial crimes and the regulatory curve.

HotScan solutions were designed to work together, but can be implemented independently to run alongside existing finance systems within an organization's payments ecosystem.
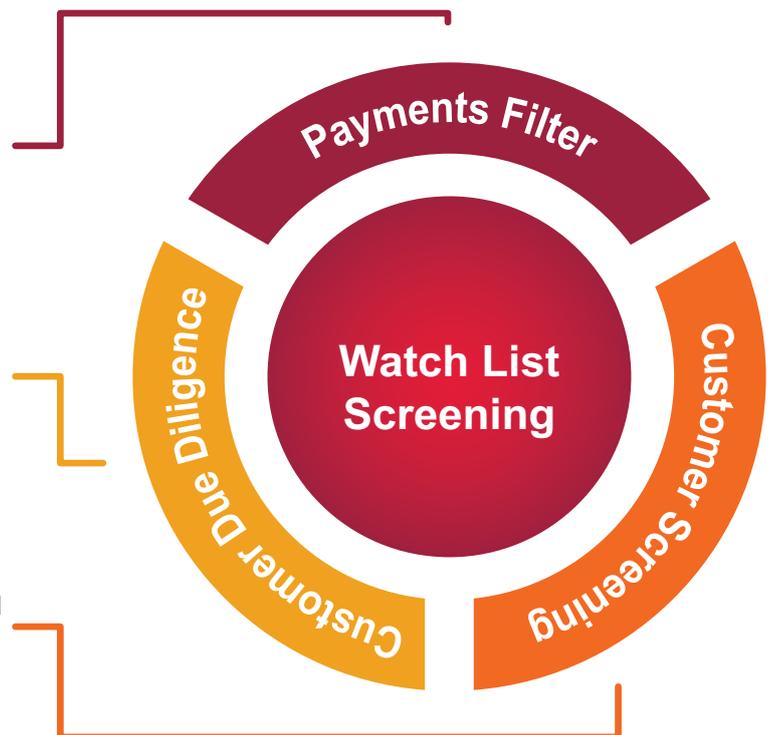
HotScan Payments Filter:
Screens payments and financial messages against watch lists to ensure compliance with sanction regulations

HotScan Customer Screening:
Screens customer, counter-party and employee records against watch lists to assess risks

HotScan Customer Due Diligence Manager:
Manages customer onboarding and due diligence processes through a configurable workflow system to assess risks

Payments Filter

Customer Due Diligence

Watch List Screening

Customer Screening

HotScan Payments Filter

HotScan Payments Filter scrutinizes transactions in real time and in batch to alert organizations about matches against watch lists. It uses fuzzy matching techniques and business rules to search out and find references to people, companies and other entities that are illegal to trade with, have sanctions against them or present a heightened risk.

Our payments filtering software automatically scans incoming and outgoing payments and administrative messages, including SWIFT, Fedwire, CHIPS, SEPA and ACH, on a 24/7 basis. In addition, HotScan provides a complete audit trail, including maker/checker and supervisor reviews, creating an exhaustive record of AML efforts for review by regulators.
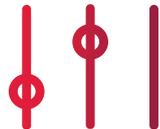
Real-Time scanning

UTF-16

Unicode compliant
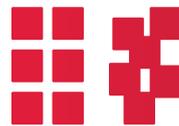
Structured and unstructured data scanning

Support for any language, script

Fine-tuning of scan settings

20+

Years of market experience

Va

lue-added modules

HotScan Intelligent Self-Learning
uses data analytics to significantly
reduce false positives. It provides an
analysis of false positive history,
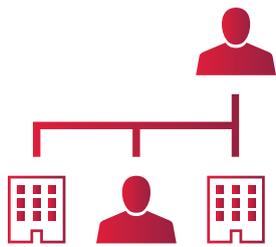good customers and
efficiency models.

HotScan Sandbox
users are able to meet BSA/AML
compliance for independent testing
by providing an analysis of scan
settings, watch list data and
business rules.

HotScan Case Manager
optimizes alert management by
automating and standardizing alert
processes.

Ultimate beneficiary
ownership

Customer data capture
and storage

AML risk scoring

Alerts for customer
re-review

HotScan Customer Screening & Due Diligence

HotScan Customer Due Diligence Manager

Due diligence is a preemptive effort to prevent illegal activity by first understanding who a customer is, who controls the customer, and the risk of the customer laundering money or engaging in other illicit financial activity. CGI's Customer Due Diligence Manager screens customer names and other personal information against watch lists, ensuring accurate and cost-effective customer onboarding. These lists include government-sanctioned individuals and entities, politically exposed persons or those linked to high-profile crimes.

Organizations must follow customer due diligence policies in compliance with money laundering regulations, which includes assessing watch list risk. Depending on the analysis, the outcome may be to report the match to a regulator or responsible government department, terminate the relationship, carry out enhanced due diligence or monitor the customer's business activity more closely. CGI's Customer Due Diligence Manager enables financial institutions and corporations to perform all of these tasks more effectively and cost-efficiently using advanced digital technologies.

HotScan Customer Screening

HotScan Customer Screening scrutinizes customer records and alerts financial institutions to matches against watch lists. The software uses fuzzy matching techniques and business rules to search for and find references to people, companies and other entities with whom it is illegal to trade, have sanctions against them or who represent a heightened risk.
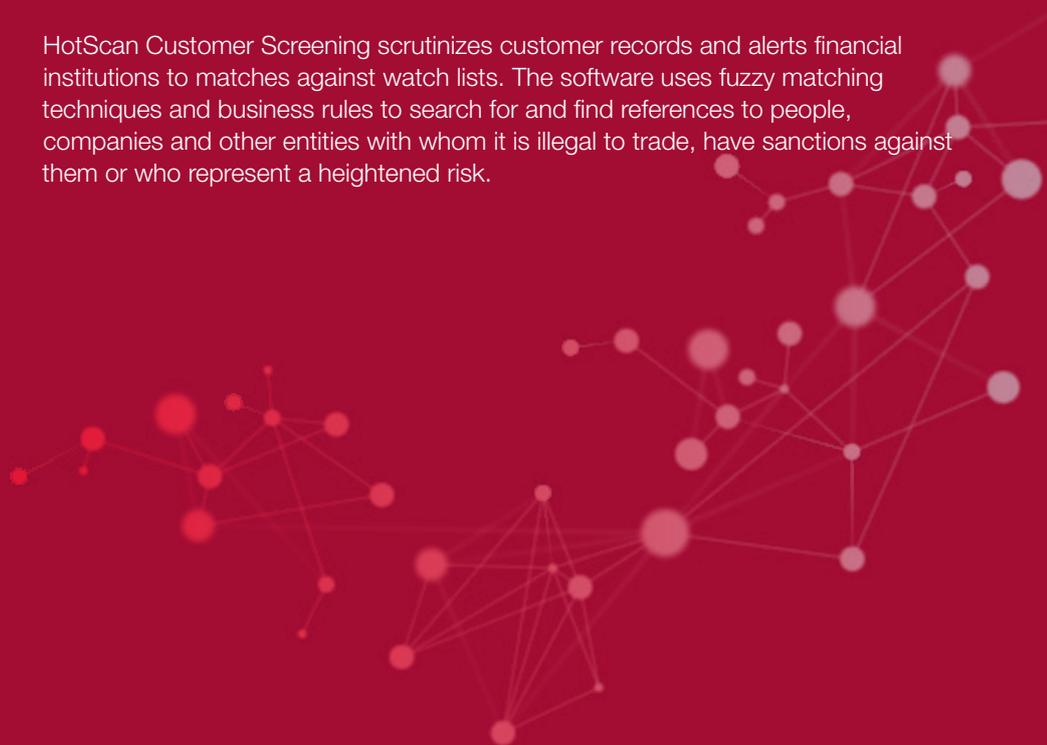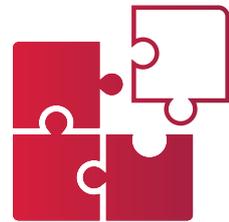
HotScan Risk Screening Solutions
meet rising regulatory requirements
while driving down costs by:
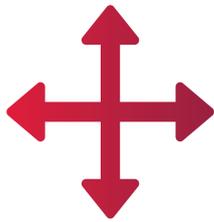
Providing flexibility
and scalability

Automating manual,
labor-intensive
processes

Integrating
seamlessly with
existing bank
processes

CGI

Founded in 1976, CGI is one of the largest IT and business process services providers in the world. Operating in hundreds of locations across the globe, CGI helps clients become customer-centric digital organizations. We deliver high-quality business and IT consulting, systems integration and transformational outsourcing services, complemented by more than 150 IP-based solutions, to support clients in transforming into digital enterprises end to end. CGI works with clients around the world through a unique client proximity and best-fit global delivery model to accelerate their digital transformation, ensure on-time, within budget delivery, and drive competitive advantage in today's increasingly digital world.

Visit cgi.com or contact us at info@cgi.com for more information.