

# e-settlement for Retail Broker/Dealers

## KEY BENEFITS

- Open, real-time, highly scalable architecture
- Straight-Through-Processing compliant & T+1 ready
- Modular configuration options allows for customization
- Integrated order management, multi-currency, full API support
- Full support for FundSERV multiple dealer codes
- Real-time margin & exposure management
- Eliminates the requirement for many 3rd party solutions

## COMPANY PROFILE

At CGI, we're in the business of satisfying clients by helping them win and grow. For more than 30 years, we've operated upon the principles of sharing in clients' challenges and delivering quality services to address them.

As a leading IT and business process services provider, CGI has a strong base of 31,000 professionals operating in more than 125 offices worldwide, giving us the competitive advantage of close proximity to our clients. Through these offices, we offer local partnerships and a balanced blend of global delivery options to ensure clients receive the optimal combination of value and expertise required for their success.

We define success by helping our clients achieve superior performance and gain competitive advantage.

## BOOK OF RECORD FOR RETAIL BROKER/DEALERS

e-settlement's front, middle and back office functionality allows the retail investment dealer to improve operating efficiency and manage client / counterparty risk in a real-time manner and enhance customer service.

### Key Highlights

- On demand portfolio evaluations
- Full support for FundSERV multiple dealer codes
- Supports tracking on and off book assets, (e.g. client, name, mutual fund)
- Sophisticated commissions based on channel
- Flexible holiday processing capability
- Extended transaction history
- Integrated Order Capture and Validation ("OCV")
- Embedded report writer tool (information builders)
- True multi-currency processing and reporting, records cost in:
  - Execution currency
  - Settlement currency
  - Reporting currency
- Bilingual client statements
- Sophisticated entitlement module
- EFT module with support for:
  - PAC/SWP
  - RSP contributions
  - Standing orders
  - Mortgage payments
  - RIF payment scheduling